ITF WHEELCHAIR TENNIS REGULATIONS KEY CHANGES 2017

(Note: new wording is <u>underlined</u>)

II. PURPOSE AND APPLICABILITY

ITF Limited trading as the International Tennis Federation promulgates these tournament regulations for the purpose of providing fair and reasonable rules for the orderly and uniform conduct of international wheelchair tournaments sanctioned by the ITF. All references to the International Tennis Federation or ITF in these regulations shall mean ITF Limited. These regulations shall be applicable to all wheelchair tournaments as defined in V. Categories of Events. Each player entrant in an ITF Tournament agrees as a condition of entry to abide by and be subject to these regulations and code of conduct as amended from time to time by the ITF Board of Directors.

Any applicant awarded a one (1) year sanction for an ITF Tournament shall be subject to, and the Applicant shall be bound by and comply with the ITF Rules of Tennis, all of the applicable provisions of the ITF Wheelchair Regulations, the ITF Wheelchair Organisational Requirements and the Guide to Recommended Health Care Standards document, each of which may be amended from time to time.

The ITF reserves the right to refuse approval of or cancel any previously sanctioned tournament or series of tournaments for reasons of health, safety, security or any other potential threat to any persons attending the tournament(s) or to the successful running of the tournament(s), without any liability on behalf of the Applicant, National Association, Tournament Organiser or the ITF (for the avoidance of doubt, the ITF, the Applicant, National Association and/or the Tournament/Host Organiser will not be liable to any Players or any other persons or entities for any costs that they might have incurred in relation to such cancelled tournaments, including, without limitation, any transport and/or accommodation costs). This decision will be made on behalf of the ITF by the ITF Wheelchair Tennis Committee, and may be appealed to the Independent Tribunal.

Each player entrant in an ITF Wheelchair Tennis Tournament agrees as a condition of entry to abide by and be subject to ITF Rules of Tennis (including Rules of Wheelchair Tennis), Tennis Anti-Doping Programme, Tennis Anti-Corruption Programme, Minimum Eligibility Clause and to these Regulations and Code of Conduct. It is the responsibility of each player to be fully acquainted with these Rules and Regulations.

Amendments of the Regulations

These ITF Wheelchair Tennis Regulations may only be amended, repealed or otherwise modified, in whole or in part, by the ITF Board of Directors.

Related Regulations

The ITF Rules of Tennis, including Rules of Wheelchair Tennis (see Appendix B), shall apply at all ITF Tournaments. To the extent not covered herein The Constitution of ITF Limited 2017 and the 2017 Duties and Procedures for Officials shall be applicable to all such tournaments. Such applicability shall not affect the right of the tournaments to promulgate and enforce their own special regulations insofar as they are consistent with the applicable provisions of these Regulations and have been approved by the ITF.

III. ENFORCEMENT OF THE WHEELCHAIR TENNIS REGULATIONS AND RESOLUTION OF DISPUTES

On-site enforcement

It is the responsibility of the Referee to enforce these Regulations on-site.

First instance proceedings

The Referee shall have exclusive jurisdiction, in the first instance, over the following matters:

(a) <u>unless expressly referred elsewhere</u>, an allegation that a player has committed an offence under the Code of Conduct that is not a Major Offence or a breach of the Welfare Policy;

(b) any disputes or questions of interpretation that arise on-site at a tournament (to be resolved in accordance with the Rules of Tennis or Wheelchair Tennis); and

(c) any other decisions, disputes, questions of interpretation or other matters that are entrusted under these Regulations to the Referee.

The Referee, ITF Supervisor, ITF Executive Director, ITF Wheelchair Tennis Committee and Tournament Director or other person/entity designated under these Regulations may decide any issues entrusted to them (respectively) under these Regulations. Unless expressly provided otherwise in these Regulations, there is no right of appeal against their (respective) decisions.

The ITF Internal Adjudication Panel shall have exclusive jurisdiction, in the first instance, over the following matters (to be determined in accordance with its Procedural Rules):

(a) any request for a decision that is entrusted under these Regulations (including the Code of Conduct) to the ITF Internal Adjudication Panel;

(b)<u>any Protests/Appeals;</u>

(c) any dispute or question about the proper interpretation of these Regulations (including reviewing as appropriate any on-site interpretation by the Referee);

(d)any dispute or question about player eligibility arising under these Regulations (unless expressly referred elsewhere);

(e) any allegation that a player, Related Person or other person or entity bound by these Regulations has failed to comply with any other aspect of these Regulations (unless expressly referred elsewhere); and

(f) any other dispute arising out of or relating in any way to these Regulations that is referred to it by the Board.

The Independent Tribunal shall have exclusive jurisdiction, in the first instance, over the following matters (to be determined in accordance with its Procedural Rules):

(a) any request for a decision that is entrusted under these Regulations to the Independent Tribunal;
(b) an allegation that a player, Related Person or other person participating in ITF wheelchair tennis tournaments has breached the Tennis Anti-Doping Programme;

(c) an allegation that a player or Related Person has committed a Major Offence under the Code of Conduct;

(d)any allegation that a Tournament Offence has been committed under the Code of Conduct;

(e) any allegation that a Covered Person has committed a breach of the Welfare Policy; and

(f) any other dispute arising out of or relating in any way to these Regulations that is referred to it by the Board.

The Procedural Rules for both the Internal Adjudication Panel and the Independent Tribunal are available online at www.itftennis.com, or a hard-copy can be requested in writing to the ITF.

The Anti-Corruption Hearing Officer shall have exclusive jurisdiction, in the first instance, over any allegation that a Player, Related Person or other person participating in ITF wheelchair tennis tournaments has breached the Tennis Anti-Corruption Programme.

Sanctions

Where the ITF Internal Adjudication Panel and/or Independent Tribunal upholds an allegation of breach of these Regulations, it will determine the sanctions for such breach in accordance with the sanctions provisions in its Procedural Rules, unless these Regulations specify other sanctions for such breach, in which case it will apply the specific sanctions.

Appeals

Save where provided otherwise under these Regulations:

(a) decisions by the Referee, ITF Executive Director, ITF Wheelchair Tennis Committee and Tournament Director under these Regulations may only be challenged by way of appeal to the ITF Internal Adjudication Panel, to be determined in accordance with its Procedural Rules (save that there shall be no right of appeal against the ITF Internal Adjudication Panel's decision);

(b)<u>decisions of the ITF Internal Adjudication Panel on Protests/Appeals are not subject to appeal;</u> (c)<u>subject to the preceding paragraphs (a) and (b) above, decisions of the ITF Internal Adjudication</u> <u>Panel under these Regulations may only be challenged by way of appeal to the Independent</u> <u>Tribunal, in accordance with the Independent Tribunal's Procedural Rules (in particular, the section</u> <u>applicable to the Independent Tribunal sitting as an appeal panel);</u>

(d)<u>decisions of the Independent Tribunal (sitting as a first instance tribunal) may only be</u> challenged by way of appeal to the Court of Arbitration for Sport, as set out in the Independent <u>Tribunal Procedural Rules</u>;

Such appeals may only be brought by one of the following persons:

(i) the person or entity that is the subject of the decision being appealed; and/or (ii)the ITF.

'Related Person' is defined as any coach, trainer, therapist, physician, management representative, agent, family member, tournament guest, business associate or other affiliate or associate of any player, or any other person who receives accreditation at an ITF wheelchair tennis tournament at the request of the player or any other Related Person.

VI. APPLICATIONS

6. **APPLICATIONS**

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All tournaments that wish to be considered for inclusion on the UNIQLO Wheelchair Tennis Tour must also sign the Tournament Agreement. Any ITF Tournament that breaks the Tournament Agreement may be penalised by the <u>ITF Internal Adjudication Panel</u>. Penalties may include a fine and/or possible suspension from the UNIQLO Wheelchair Tennis Tour.

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8. CANCELLATION

Once an application has been approved by the ITF any details confirming the tournament dates and site may not be altered. Please note Rule <u>70f</u>.

Any cancellation for reasons other than that of health, safety, security or any other potential threat as described in section II. Purpose & Applicability above, will subject the organisers to loss of all application fees and the Event must remain off the calendar for one (1) year before applying for reinstatement, other than in exceptional circumstances as authorised by the ITF Wheelchair Tennis Committee.

IX. ORGANISATIONAL REQUIREMENTS

11. ORGANISATION

c) Tournament Doctor and Sports Medicine Trainer

Each ITF Tournament must appoint and pay all costs for an English-speaking medical doctor, preferably an orthopedic specialist or a general practitioner, to be available on call at all times during playing hours. The tournament must also appoint an English-speaking Sports Medicine Trainer, to be available on-site during play, to provide treatment to players. It is recommended that all reasonable off-court treatment is provided free of charge to players.

16. OFFICIATING

a) Grand Slam events

The Referee shall be of a minimum level of <u>ITF Silver Badge</u>. Chair Umpires shall be provided for all Main Draw Matches.

There shall be at least 2 lines persons for the finals of the singles and doubles in the Main Draw for men, women and quads i.e. one for the far side line and one for the service line.

b) Super Series and ITF 1 Series events

The Referee shall be of a minimum level of ITF White Badge. There shall be <u>Off-Court-Umpires</u> from the first round of all draws. National chair umpires shall be provided as follows:

- Main Draw <u>Semi-Finals</u> (men, women and quads, singles and doubles)
- There shall be at least 2 lines persons for the finals of the singles and doubles in the Main Draw for men, women and quads i.e. one for the far side line and one for the service line.

c) ITF 2, ITF 3 and Futures Series events

The Referee shall be of a minimum level of ITF White Badge. There shall be <u>Off-Court-Umpires</u> from the first round of all draws. Chair umpires shall be provided as follows: Main Draw, Final (men, women and quade, singles and doubles)

Main Draw - <u>Final</u> (men, women and quads, singles and doubles)

d) Non-Umpired Matches

Disputes in matches played without a chair umpire shall be resolved by the referee (including the assistant referee(s) / Off-Court Umpire(s)) in accordance with the provisions of the Duties and Procedures for Officials, Appendix E procedures for ITF Supervisors / Referees.

17. PROMOTION

- a) Tournament Entry Form
- ii. I am bound by and will comply with the Tennis Anti-Corruption Program (the "Anti-Corruption Program"), a copy of which is available upon request from the ITF or may be downloaded at http://www.tennisintegrityunit.com. The Anti-Corruption Program will govern my participation in ITF-sanctioned events (alongside the ITF Rules, including the Player Code of Conduct and the ITF Tennis Anti-Doping Programme, each of them applying concurrently and without prejudice to the other). The Tennis Integrity Unit may conduct investigations in relation to ITF-sanctioned events under the Anti-Corruption Programme, and will enforce any penalties, sanctions and/or other measures taken against me under the Anti-Corruption Programme. I hereby submit to the jurisdiction and authority of the Anti-Corruption Hearing Officer and (on appeal) the Court of Arbitration for Sport to determine any cases brought under the Anti-Corruption Programme.
- iii. I am bound by and will comply with the Tennis Anti-Doping Programme (the "Anti-Doping Programme"), a copy of which is available upon request from the ITF or may be

downloaded at http://www.itftennis.com/anti-doping. The Anti-Doping Programme will govern my participation in ITF-sanctioned events (together with the ITF Rules, including the Player Code of Conduct and the Tennis Anti-Corruption Program, each of them applying concurrently and without prejudice to the other). The ITF may conduct anti-doping testing at ITF-sanctioned events under the Anti-Doping Programme, and will enforce any penalties, sanctions and/or other measures taken against me under the Anti-Doping Programme. I hereby submit to the jurisdiction and authority of the ITF to manage, administer and enforce the Anti-Doping Programme and to the jurisdiction and authority of the Independent Tribunal and (on appeal) the Court of Arbitration for Sport to determine any <u>cases</u> brought under the Anti-Doping Programme.

XI. CONDUCT OF EVENTS

28. INTERNATIONAL SPONSORSHIP

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For the avoidance of doubt no sponsorship will be permitted either as part of the Commercial Rights or as Circuit Sponsor Rights to any entity in respect of tobacco products, hard liquor products, <u>betting companies</u>, political activity or other category deemed to be detrimental to the sport of tennis, as reasonably determined by ITF in consultation with the applicable National Associations. It is permitted to appoint casinos or national, regional or state sports lotteries as part of the above rights (with the prior approval of the ITF) provided they do not offer tennis betting as part of their business activity.

31. ENTRIES

Each tournament must provide entry forms, copies of which must be sent to the ITF office for reference at least six (6) weeks in advance of their entry deadline. All entries must be made in writing, either on the official entry form, by letter, fax or email. Tournaments are obliged to accept the UNIQLO Wheelchair Tennis Tour entry form. Any player whose entry has been refused may appeal to the <u>ITF Internal Adjudication Panel</u> who will make the final decision (there shall be no rights of appeal). A player cannot play two (2) events that run simultaneously.

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h) Entry forms

All players must sign an official entry form. All entries sent by letter, fax or email are subject to the regulations and conditions of entry and must contain the information required on the official entry form for entry processing, including the player's full name, date of birth, IPIN, ranking, contact address, telephone numbers and signature to abide and comply with the ITF Rules, Tennis Anti-Doping Programme, Tennis Anti-Corruption Programme, and Minimum Eligibility Clause. Junior entry forms must be signed by a parent/guardian. Notwithstanding any other provision of these Regulations, the ITF and/or the Tournament Organiser has the absolute right to refuse to accept any player's entry to any ITF Wheelchair Tennis Tour tournament. It may exercise that right as it sees fit, with or without providing reasons.

35. FORMAT OF PLAY

- c) <u>The format of doubles matches shall be as follows</u>:
 - 1. Paralympics, ITF Super Series and <u>Masters Series</u> best of 3 tie break sets.
 - 2. Grand Slams to be agreed with each Grand Slam
 - 3. <u>BNP Paribas World Team Cup best of three sets, the first two to be played as tiebreak sets but if the score is one set all, one match tie-break (10 points) shall be played to decide the match.</u>

4. <u>ITF1,2,3 and Futures Series – best of three sets, the first two to be played as tiebreak sets but if the score is one set all, one match tie-break (10 points) shall be played to decide the match.</u>

43. ITF WHEELCHAIR TENNIS RANKING SYSTEM

The following draws of any tournament are eligible to gain points on the ITF Wheelchair Tennis ranking:-

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• Men's, women's and quad draws, singles and doubles.

World Team Cup

- Final and Regional Qualifying Events.
- Men's, women's and quad events, singles and doubles.
- <u>Points are awarded based on the opponent's ranking, as detailed in section 59.</u> <u>World Team Cup Points.</u>

Points Allocation

With the exception of World Team Cup:

- A player is awarded points according to the round achieved.
- A player losing in the pre-round is awarded one (1) point.
- A player losing in the first round is awarded two (2) points.
- A player who receives a "bye" in the first round and loses in the second round receives two (2) points.
- A player who receives a "walkover" in the first round, and loses in the second round, receives second round points.
- A player who is deemed a "No Show" in the first round will receive no points and no prize money for that draw.

There are no separate point tables for doubles. The same line of points will be allocated as for the singles draws.

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Ranking Points

A. MAIN DRAW (Singles and Doubles)

MEN

Grade	Draw	WR	RU	SF	QF	12/16	24/32	64/QR
SS	64/56	750	525	336	186	103	55	2
	32	650	456	292	162	90*	2	1
	24	485	340	218	121	67*	2	1
ITF 1	32	335	235	151	84	47*	2	1
	24	325	228	146	81	45*	2	1
	<u>16</u>	315	221	141	78*	2		1
	12	305	214	136	75*	2		1
	8	295	207	131*	2			<u>1</u>
ITF 2	24	220	154	99	55	29*	2	1
	16	195	137	88	49*	2		1
	12	170	119	77	43*	2		1
	8	145	102	66*	2			<u>1</u>
ITF 3	16	120	85	55	30*	2		1

Futures WOMEN	12 8 32 24 16 12 8	95 70 95 70 55 50 45	67 49 67 49 42 40 32	43 31* 43 31 29 24 20*	24* 2 24 23 20* 18* 2	2 17 <u>*</u> 15 <u>*</u> 2 2	2 2	1 1 1 1 1 1 1
Grade SS	Draw 32 24 16 12	WR 650 485 460 435	RU 456 340 322 304	SF/3rd 292 218 206 194	QF/4th 162 121 114* 107*	12/16 90* 67* 2 2	24/32 2 2	QR 1 1 1 1
ITF 1 24 ITF 2	325 16 12 8 32 24	228 315 305 295 245 220	146 221 214 207 172 154	81 141 136 131* 110 99	45* 78* 75* 2 61 55	2 2 2 32* 29*	1 2 2	1 1 <u>1</u> <u>1</u> <u>1</u>
ITF 3	24 16 12 8 24	195 170 145 145	134 137 119 102 102	99 88 77 66* 66	49* 43* 2 36	2 2 2 18*	2	$\frac{1}{1}$ $\frac{1}{1}$ $\frac{1}{1}$
Futures	16 12 8 32 24 16 12 8	120 95 70 95 70 55 50 45	85 67 49 67 49 42 40 32	55 43 31* 43 31 29 24 20*	30* 24* 2 24 23 20* 18* 2	2 $17 \times 15 \times 2$ 2	2 2	$\frac{\frac{1}{1}}{\frac{1}{1}}$
QUAD	0	73	52	20	2			1
Grade SS	Draw 24 16 12 8	WR 650 485 460 435	RU 456 340 322 304	SF 292 218 206 194*	QF 162 121* 114* 2	12/16 90* 2 2	24/32 2	QR 1 1 1 1
ITF 1 ITF 2 24	24 16 12 8 220	325 315 305 295 154	228 221 214 207 99	146 141 136 131* 55	81 78* 75* 2 29*	45* 2 2	2 <u>1</u>	1 1 1 1
ITF 3	16 12 8 16 12 8	195 170 145 120 95 70	137 119 102 85 67 49	88 77 66* 55 43 31*	49* 43* 2 36* 30* 2	2 2 2 2	_	$\frac{1}{1}$ $\frac{1}{1}$ $\frac{1}{1}$

Futures	32	95	67	43	24	17 <u>*</u>	2	1
	24	70	49	31	23	15 <u>*</u>	2	1
	16	55	42	29	20*	2		<u>1</u>
	12	50	40	24	18*	2		<u>1</u>
	8	45	32	20*	2			<u>1</u>

* = points not applicable for doubles events, instead of points mentioned two points will be allocated to that round.

SINGLES AND DOUBLES MASTERS

WOMEN

Event	Draw	WR	RU	3 RD	4 TH	3 RD	4 TH	
						in RR Grp		
Singles Masters Doubles Masters	8 6	800 800	500 500	400 375	350 275	200 <u>100</u>	100	

XII. WHEELCHAIR TENNIS MASTERS (SINGLES, DOUBLES, JUNIOR)

47. PENALTIES

d) Failure to Abide by the Rules and Regulations

Any competing player/team who fails to conform to the Rules and Regulations may be immediately disqualified and/or shall be liable to have their entry refused for future Competitions until assurances of compliance with the Regulations are given, and subject to any further penalties set out in these Regulations.

For offences which do not justify disqualification, the <u>ITF Internal Adjudication Panel</u> may decide that a fine be imposed.

XI. BNP PARIBAS WORLD TEAM CUP RULES

50. COMPETITION

d) Entry

A maximum of <u>Sixteen (16) men's teams</u>, twelve (12) women's teams, eight (8) quad teams and eight (8) junior's teams shall compete in the Main Draw World Group of the Competition. The remaining teams will compete in regional qualification events. **Men**

- i. <u>The top ten (10) Nations from the 2016 BNP Paribas World Team Cup will receive</u> <u>direct entry.</u>
- ii. Four (4) nations will receive entry through winning the regional qualification events. In the event of there being only three (3) qualification zones, the remaining position will be given to one of the other qualification zones.
- iii. The remaining two (2) positions will be awarded as Wild Cards; one (1) to the Host Nation and one (1) to the winner of the MWG2 division from the 2016 BNP Paribas World Team Cup. In the event of the Host Nation already having direct entry to the event, this Wild Card will be awarded to a nation at the discretion of the ITF Wheelchair Tennis Committee.

e) Format

The men's draw will be in a round robin format with four (4) pools of four (4) teams. The winner of pool 1 will play the winner of pool 4 and the winners of pools 2 and 3 shall play against each other in the semifinals. The second placed teams in each pool will play each other in cross-over knock-out matches using the same format as above meaning second place from pool 1 will play the second place team from pool 4 and so on to decide positions 5-8 with third and fourth placed teams similarly playing for positions 9-12 and 13-16 respectively.

51. ENTRIES

a) BNP Paribas World Team Cup & The Paralympic Games

[SECTION UNDER REVIEW – TO BE CONFIRMED IN FEBRUARY 2017]

- **i.** Athletes must make themselves available to their respective National Association for selection to represent their country in the BNP Paribas World Team Cup for two (2) of the following years <u>2017</u>, <u>2018</u>, <u>2019</u>, <u>2020</u>, provided however that one of those years is either <u>2019 or 2020</u>.
- National Associations must compete in the BNP Paribas World Team Cup and/or the BNP Paribas World Team Cup Regional Qualifier events at least once within the Paralympic cycle (2017-2020) in order to be eligible for the Paralympic Tennis Event. Athletes from nations that do not meet this criterion would need special consideration by the ITF Wheelchair Tennis Committee.

54. TEAMS

f) <u>Eligibility</u> of a Player <u>or Captain</u> to Represent a <u>Nation</u>

- i. Any player or captain who is in good standing with his/her National Association in accordance with Appendix J shall be qualified to represent that nation as a player or captain in the BNP Paribas World Team Cup if he/she:
 - (a) <u>has not previously represented any other nation in the BNP Paribas World</u> <u>Team Cup; and</u>
 - (b) (i) is a citizen of that nation and <u>holds</u> a current valid passport of that nation; or,
 - (ii) is a citizen of that nation but, in circumstances where that nation does not issue its own passport, <u>holds</u> a qualifying passport issued by or on behalf of that nation <u>which confirms the player's or captain's place of birth as</u> <u>that nation</u>; or,
 - (iii) after a consecutive period of $\underline{\text{two}}(2)$ years (24 months) residence in that nation can provide a genuine reason for being unable to hold or make application to hold a current valid passport where:
 - he/she was born, or has a parent or grandparent born, in that nation; or,
 - he/she has obtained or procured the right to remain permanently or has been granted humanitarian protection in that nation.
- ii. If a player/captain is qualified under paragraph i. above to represent more than one nation and the National Association of one of those nations wishes to nominate him/her to represent it, that Association must submit an application to the <u>ITF</u> <u>Executive Director</u>, who will forward a copy to any other National Association concerned, which shall be entitled to comment within 15 days of receipt. The initial application must be received by the <u>ITF Executive Director</u>, at least three months prior to the event for which the player wishes to be nominated. The <u>ITF Executive Director</u> will refer the application to the <u>ITF Internal Adjudication Panel</u>, which will give a ruling having taken into account all relevant matters.

- iii. A player <u>or captain</u> who has represented, or has been eligible to represent, a nation and such a nation is divided into two or more nations, shall immediately be eligible to represent any one of those nations.
- iv. A player <u>or captain</u> who has represented, or has been eligible to represent a nation and such nation is absorbed in whole or in part by another nation, shall immediately be eligible to represent such other nation.
- v. A National Association may <u>apply</u> to the <u>ITF Internal Adjudication Panel</u> to nominate a player <u>or captain</u> who is not eligible under the above Regulations on the basis that the full circumstances warrant an exception being made. Such an application must be received by the <u>ITF Internal Adjudication Panel at least three</u> months prior to the event for which the player or captain wishes to be nominated.
- vi. The <u>ITF Internal Adjudication Panel</u> has the right to ask a National Association to produce evidence to show how a player <u>or captain</u> is qualified to represent that nation.

55. DRAWS AND SEEDING

b)

Seeding

..... Men and Women

In the <u>Sixteen (16) and</u> twelve (12) team draws, the top four (4) teams may be seeded and positioned as follows:

- i. Seed 1 shall be placed in pool A;
- ii. Seed 2 shall be placed in pool B;
- iii. Seeds 3/4 shall be drawn for pools C/D;

Teams ranked 5-8 shall be placed in a draw to determine their pools. The remaining teams will be drawn into the final places in each group.

For the play-off: pool A vs. pool D and pool B vs. pool C

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56. WORLD TEAM CUP POINTS

Men, Women & Quads:

World Team Cup <u>Ranking</u> Points will be awarded at the BNP Paribas World Team Cup (and the respective regional qualification events) and applied to the ITF Wheelchair Tennis Ranking.

Note: World Team Cup <u>Ranking</u> Points for doubles are based on the combined ranking of the doubles pair.

Juniors:

The following World Team Cup Ranking Points will be awarded to the Junior Division

57. CONDUCT OF THE COMPETITION

b) Order of Play

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In the event of exceptional circumstances the Tournament Referee, Tournament Director and the <u>ITF Executive Director</u> have the authority to decide that doubles matches are not required when the score is 2-0 after the singles matches.

n) Captains, Player Representatives and Player Relatives

Violations will result in (i) denial of privileges or exclusion of such person from any or all ITF sanctioned wheelchair tennis tournaments; or (ii) such other sanctions as the <u>ITF Internal</u> <u>Adjudication Panel</u> may decide to impose.

59. PENALTIES

d) Default in Payment

Any default in payment in respect of their team's participation in a previous Competition, shall render that Member Nation liable to have its entry refused for any future Competitions until such payment has been made in full. The <u>ITF Internal Adjudication Panel</u> will be the final arbiter in any dispute, and shall decide any appeals.

f) Failure to Abide by the Regulations

Any competing Nation who fails to conform to the Regulations may be immediately disqualified and/or shall be liable to have their entry refused for future Competitions until assurances of compliance with the Regulations are given, and subject to any further penalties set out in these Regulations. For offences which do not justify disqualification, the <u>ITF Internal</u> <u>Adjudication Panel</u> may decide that a fine be imposed.

61. EXTRAORDINARY CIRCUMSTANCES

The above Regulations shall be binding on all Nations eligible to compete. The ITF Board of Directors may supersede these Regulations in extraordinary circumstances and may delegate this responsibility to the <u>ITF Executive Director</u>, Referee and Tournament Director on site.

XII. CODE OF CONDUCT FOR WHEELCHAIR TENNIS

62. PURPOSE

The International Tennis Federation promulgates this Code of Conduct (Code) in order to maintain fair and reasonable standards of conduct by players, <u>Related Persons</u> and the organisers of ITF sanctioned wheelchair tennis events and to protect their respective rights, the rights of the public and the integrity of the Sport of Tennis. All references to the International Tennis Federation or the ITF shall mean the ITF Limited.

63. EXCLUSIVE APPLICABILITY

a) To Players and Tournaments

The 2017 Code of Conduct for Wheelchair Tennis shall be the exclusive basis for disciplinary action against any player, <u>Related Person</u> or tournament in ITF-sanctioned Wheelchair Tennis Events, <u>except to the extent that disciplinary jurisdiction is</u> established in relation to such Tournaments under (i) the Tennis Anti-Corruption <u>Program; and/or (ii) the Tennis Anti-Doping Programme; and/or (iii) the ITF Welfare Policy.</u>

65. ENTRY OFFENCES

e) Determination and penalty

The <u>ITF or ITF Supervisor/Referee</u> shall cause an investigation to be made to determine the facts regarding all Entry Offences and upon determining that a violation has occurred shall specify the fine and/or other punishment therefore and where possible notify the player.

g) Appeals

Any player found to have committed an Entry Offence may, after paying all fines as provided above, appeal to the <u>ITF Internal Adjudication Panel</u>, which shall determine the matter in accordance with its Procedural Rules (save that there shall be no right of appeal against the <u>ITF Internal Adjudication Panel</u>'s decision). The appeal shall be made in writing and must be filed with the ITF by 5.00pm GMT within ten (10) days from the date the player is notified of the violation (the "Notice of Appeal"). The Notice of Appeal must include a statement by the player as to the facts and circumstances of the incident along with any other evidence the player wishes to submit.

Should a player submit documentation that the ITF deems to be false evidence a fine may be levied as herein provided for under this Section $\underline{65}$ above.

66. ON-SITE OFFENCES

u) Determination and Penalty

The <u>ITF Supervisor/Referee</u> shall make such investigation as is reasonable to determine the facts regarding all On-Site Offences and upon determining that a violation has occurred, shall specify the fine and/or other punishment and give written notice thereof to the player.

w) Appeals

Any player found to have committed an On-Site Offence may, after paying all fines as provided above, appeal to the ITF Internal Adjudication Panel, which shall determine the matter in accordance with its Procedural Rules (save that there shall be no right of appeal against the ITF Internal Adjudication Panel's decision). The appeal shall be made in writing and must be filed with the ITF by 5.00pm GMT within ten (10) days from the date the player is notified of the violation (the "Notice of Appeal"). The Notice of Appeal must include a statement by the player as to the facts and circumstances of the incident along with any other evidence the player wishes to submit.

67. MAJOR OFFENCES

b) Conduct contrary to the Integrity of the Game

No player or Related Person shall engage in conduct contrary to the integrity of the Game of Tennis. If a player is convicted of the violation of a criminal or civil law of any country, he may be deemed by virtue of such conviction to have engaged in conduct contrary to the integrity of the Game of Tennis and the <u>ITF Executive Director</u> may provisionally suspend such a player from further participation in ITF sanctioned events pending a final determination in section c). In addition, if a player has at any time behaved in a manner severely damaging to the reputation of the sport, he may be deemed by virtue of such behaviour to have engaged in conduct contrary to the integrity of the Game of Tennis and be in violation of this Section.

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c) Determination and Penalty

The ITF will investigate all facts concerning any alleged Major Offence. All Players and Related Persons must cooperate fully with such investigations. The ITF may make a written demand to a Player or Related Person (a "Demand") to furnish to the ITF any information that may evidence or lead to the discovery of evidence of a Major Offence, including (without limitation) requiring the Player or other Related Person to attend an interview and/or to provide a written statement setting forth his/her knowledge of the relevant facts and circumstances. The Player or Related Person must furnish such information within seven business days of the making of such Demand, or within such other deadline as may be specified by the ITF.

Where, as the result of an investigation under this Article 67(c), the ITF forms the view that a Player or Related Person has a case to answer for commission of a Major Offence, the ITF shall refer the matter to the Review Board.

Review Board

The ITF shall identify one or more individuals who are independent of the ITF and who have the expertise required by the nature of the particular case to form the Review Board and to review the evidence to determine whether there is a case to answer. The ITF shall send the entire dossier of evidence to the Review Board member(s). Where necessary, the Review Board may request that the ITF provide additional information for the Review Board's consideration. There shall be no obligation for the Review Board to meet in person to deliberate. However, any decision by the Review Board that the Player or other Person has a case to answer must be unanimous.

Where the Review Board concludes that there is no case to answer, then the ITF shall notify the Player or Related Person and any other party with a right of appeal, and (subject to the rights of appeal) the matter shall not proceed any further.

When the Review Board determines that a Player or Related Person has a case to answer, the ITF will send a written notice to the Player or Related Person (the "Notice of Charge"), with a copy to the Chairman of the Independent Tribunal, setting out:

- (a) the Major Offence(s) alleged to have been committed, a summary of the facts upon which such allegations are based;
- (b) the potential consequences applicable if it is determined that the alleged Major Offence has been committed; and
- (c) the Player or Related Person's entitlement to respond to the Notice of Charge in one of the following ways:
 - (i) to admit the Major Offence(s) charged, and accede to the consequences specified in the Notice of Charge;
 - (ii) to admit the Major Offence(s) charged, but to dispute and/or seek to mitigate the consequences specified in the Notice of Charge, and to have the Independent Tribunal determine the consequences at a hearing; or
 - (iii) to deny the Major Offence(s) charged, and to have the Independent <u>Tribunal determine the charge and (if the charge is upheld) any</u> <u>consequences, at a hearing:</u>
- (d) if the Player or Related Person wishes to exercise his/her right to a hearing before the Independent Tribunal, he/she must submit a written request for such a hearing so that it is received by the ITF as soon as possible, but in any event within 10 days of the Player or Related Person's receipt of the Notice. The request must also state how the Player or Related Person responds to the charge in the Notice and must explain (in summary form) the basis for such response. In the event no such response is received by that deadline, the Player or Related Person will be deemed to have admitted the Major Offence(s) charged, and to have acceded to the consequences specified in the Notice of Charge.

In the event that the ITF withdraws the Notice of Charge, or the Player or Related Person admits the Major Offence(s) charged and accedes to the consequences specified by the ITF (or is deemed to have done so), a hearing before the Independent Tribunal shall not be required. Instead the ITF shall promptly issue a decision confirming (as applicable) its withdrawal of the Notice of Charge or the commission of the Major Offence(s) and the imposition of the specified consequences, and shall send a copy of the decision to the Player or Related Person.

Provisional Suspension

If (and only if):

- (a) the Player or Related Person has pleaded guilty to, or otherwise admitted (for example, in response to a Notice of Charge) engaging in, conduct that constitutes a Major Offence; and
- (b) the Review Board has completed its review and has concluded that the Player or Related Person in question has a case to answer in respect of that Major Offence;

then the ITF may notify the Player in writing that he/she will be Provisionally Suspended with effect from the date 10 days after the date of deemed receipt of the notice, pending a full hearing. However, the ITF shall at the same time notify the Player or Related Person of his/her right, at his/her election, to make an application to the Chairman of the Independent Tribunal convened to hear his/her case, either immediately or at any time prior to the full hearing, for an order that the Provisional Suspension should not be imposed (or, if the Provisional Suspension has been imposed, that it should be vacated). The Chairman of the Independent Tribunal, sitting alone, will rule on the application as soon as reasonably practicable.

<u>A Player or Related Person may not, during the period of any Provisional</u> Suspension, play, coach or otherwise participate in any capacity in any tournament, event or circuit owned or sanctioned by the ITF.

Hearing

If the Player or Related Person charged exercises his/her right to a hearing, the matter shall be referred to the Independent Tribunal and shall be resolved in accordance with the Independent Tribunal's Procedural Rules.

d) Payment of fines

All fines levied by the <u>Independent Tribunal</u> for Major Offences shall be paid by the player to the ITF within thirty (30) days after receipt of written notice thereof. If the fine is not paid in thirty (30) days the <u>ITF Executive Director</u> will instruct the next ITF sanctioned wheelchair tennis event to withhold prize money due to the player until settlement is made.

<u>e)</u> <u>Appeal</u>

The ITF, the Player and/or the Related Person may appeal the Independent Tribunal's decision to the Court of Arbitration for Sport. The appeal proceedings shall be conducted in accordance with the CAS Code of Sports-related Arbitration, in the English language, and shall be governed by English Law.

70. TOURNAMENT OFFENCES

a) Applicability

This Article shall apply to each ITF <u>Tournament as defined in Chapter V and any</u> <u>Applicant for such an event</u>. References in this Article to a "Tournament" shall hereafter mean an ITF Tournament or applicant for such a tournament, and where applicable refers to the legal entity (personal or corporate) that is applying for, administering, operating or otherwise organising the ITF Tournament.

b) Guarantees

The owner(s), operator(s), sponsor(s) or agent(s) of a <u>Tournament</u> shall not offer, give or pay money or anything of value, nor shall <u>the Tournament</u> permit any other person or entity to offer, give or pay money or anything of value to a player, directly or indirectly, to influence or guarantee a player's appearance at a Tournament other than prize money and permitted amateur expenses, unless authorised to do so by the Committee. Violation of this Section shall subject <u>the Tournament</u> to a fine up to \$1,000 plus the amount or value of any such payment, disqualification and loss of sanction, and/ or forfeiture of all sums, if any, previously paid to the ITF. In the event the <u>ITF Executive Director</u> believes that an <u>Tournament</u> may be violating this Section, then upon demand the <u>Tournament</u> must furnish or cause to be furnished to the <u>ITF</u> <u>Executive Director</u> or his agent access to and copies of all records to which it has access relating in any way to such alleged guarantee, or, in the absence of such records, an affidavit setting forth the facts in detail with respect to any transaction under question by the <u>ITF Executive Director</u>.

c) Wild Cards

No <u>Tournament</u>, directly or indirectly, shall offer, give, solicit, receive or accept, or agree to offer, give, solicit, receive or accept anything of value in exchange for a Wild Card. Violation of this section shall subject <u>the Tournament</u> to a fine of up to <u>\$5,000</u>, forfeiture of all sums, if any, previously paid to the ITF, and possible withdrawal of its sanction.

d) Conduct contrary to the integrity of the game

No <u>Tournament</u>, or any owner, promoter or operator thereof, shall engage in conduct contrary to the integrity of the Sport. Violation of this Section shall subject <u>the</u> <u>Tournament</u> to a fine up to <u>\$5,000</u>, forfeiture of all sums, if any, previously paid to the <u>ITF</u>, and possible withdrawal of its sanction.

e) ITF Wheelchair Tennis Rules

No <u>Tournament</u> shall violate any provisions of these ITF Wheelchair Tennis Regulations. Violation of this Section shall subject <u>the Tournament</u> to a fine up to <u>\$5,000</u>, forfeiture of all sums, if any, previously paid to the <u>ITF</u>, and possible withdrawal of its sanction.

f) Late cancellation

No <u>Tournament</u> shall cancel less than <u>fifty-six (56)</u> days prior to the scheduled commencement of the event.

Violation of this section shall subject the <u>Tournament</u> to a fine, as outlined below, of up to \$5,000, forfeiture of all sums, if any, previously paid or due to the ITF, reimbursement of unrecoverable expenses incurred, <u>and possible withdrawal of its sanction</u>.

g) Failure to Meet Sponsorship Requirements

If <u>a Tournament</u> is found to have not met sponsorship requirements according to the Wheelchair Tennis Rules and Regulations then the <u>Tournament may be subject to a fine</u> of up to \$5,000, forfeiture of all sums, if any, previously paid to the ITF, and possible withdrawal of its sanction.

h) **Determination and penalty**

The ITF shall cause an investigation to be made of all facts concerning any alleged Tournament Offence by an <u>ITF Tournament Applicant</u> and shall provide written notice of such investigation to <u>the Applicant</u> involved.

The Tournament must cooperate fully with such investigations.

The ITF may make a written demand to a Tournament (a "Demand") to furnish to the ITF any information that may evidence or lead to the discovery of evidence of a Tournament Offence, including (without limitation) requiring the Tournament to attend an interview and/or to provide a written statement setting forth its knowledge of the relevant facts and circumstances. The Tournament must furnish such information within seven business days of the making of such Demand, or within such other deadline as may be specified by the ITF.

Where, as the result of an investigation under this Article 70, the ITF forms the view that a Tournament has a case to answer for commission of a Tournament Offence, the ITF shall refer the matter to the Review Board.

Review Board

The ITF shall identify one or more individuals who are independent of the ITF and who have the expertise required by the nature of the particular case to form the Review Board and to review the evidence to determine whether there is a case to answer. The ITF shall send the entire dossier of evidence to the Review Board member(s). Where necessary, the Review Board may request that the ITF provide additional information for the Review Board's consideration. There shall be no obligation for the Review Board to meet in person to deliberate. However, any decision by the Review Board that the Player or other Person has a case to answer must be unanimous.

Where the Review Board concludes that there is no case to answer, then the ITF shall notify the Tournament and any other party with a right of appeal, and (subject to the rights of appeal) the matter shall not proceed any further.

When the Review Board determines that a Tournament has a case to answer, the ITF will send a written notice to the Tournament (the "Notice of Charge"), with a copy to the Chairman of the Independent Tribunal, setting out:

- (a) the Tournament Offence(s) alleged to have been committed, a summary of the facts upon which such allegations are based;
- (b) the potential consequences applicable if it is determined that the alleged Tournament Offence has been committed; and
- (c) the Tournament's entitlement to respond to the Notice of Charge in one of the following ways:
 - (i) to admit the Tournament Offence(s) charged, and accede to the consequences specified in the Notice of Charge;
 - (ii) to admit the Tournament Offence(s) charged, but to dispute and/or seek to mitigate the consequences specified in the Notice of Charge, and to have the Independent Tribunal determine the consequences at a hearing; or
 - (iii) to deny the Tournament Offence(s) charged, and to have the Independent Tribunal determine the charge and (if the charge is upheld) any consequences, at a hearing:
- (d) if the Tournament wishes to exercise its right to a hearing before the Independent Tribunal, it must submit a written request for such a hearing so that it is received by the ITF as soon as possible, but in any event within 10 days of the Tournament's receipt of the Notice. The request must also state how the Tournament responds to the charge in the Notice and must explain (in summary form) the basis for such response. In the event no such response is received by that deadline, the Tournament will be deemed to have admitted the Tournament Offence(s) charged, and to have acceded to the consequences specified in the Notice of Charge.

In the event that the ITF withdraws the Notice of Charge, or the Tournament admits the Tournament Offence(s) charged and accedes to the consequences specified by the ITF (or is deemed to have done so), a hearing before the Independent Tribunal shall not be required. Instead the ITF shall promptly issue a decision confirming (as applicable) its withdrawal of the Notice of Charge or the commission of the Tournament Offence(s) and the imposition of the specified consequences, and shall send a copy of the decision to the tournament.

h) <u>Hearing</u>

If the Tournament charged exercises its right to a hearing, the matter shall be referred to the Independent Tribunal and shall be resolved in accordance with the Independent Tribunal's Procedural Rules.

i) Payment of fines

All fines levied by the <u>Independent Tribunal</u> for Tournament Offences shall be paid by <u>the Applicant</u> to the ITF within thirty (30) days after receipt of written notice thereof. <u>Violation of this section may subject the applicant to a fine, forfeiture of all sums, if</u> any, previously paid to the ITF, and possible withdrawal of its sanction.

71. INTERPRETATIONS

Any person or entity subject to this Code may file with the <u>ITF Internal Adjudication Panel</u> a request for an interpretation or clarification of the Code and/or its applicability and effect on a particular event or transaction.

72. NOTICE

a) Player

Notice that a player is being investigated pursuant to a possible Major Offence charge shall be served personally upon him/her. Service of any other document required by the Code shall be deemed complete if mailed to the subject player at his/her home address or other address designated by the player, along with a copy to any player association of which he/she is a member provided that the <u>ITF Executive Director</u> has notice of such membership.

b) Tournament

Service of any document on an ITF Tournament as required by this Code shall be deemed complete if mailed to the <u>Tournament Director along with a copy to the</u> <u>Tournament Administrator</u>.

APPENDIX C PROTEST AND APPEALS

III. ADMISSIBILITY OF A REQUEST

To be admissible, a Protest or Appeal must be submitted to the <u>ITF Executive Director</u> in writing by one of the parties entitled to appeal (the "Protestor" or "Appellant", as appropriate). Where the Appellant is the Player, the Appeal must be received within two weeks of receipt of determination of eligibility or Classification. <u>The ITF Executive Director will refer the application to the Expert Panel.</u>

IV. EXPERT PANEL AND ITF INTERNAL ADJUDICATION PANEL

Appeals and Protests shall be reviewed by a panel (the "Expert Panel") appointed by the ITF, which shall consist of no fewer than three people with relevant expertise <u>and which shall act in an advisory capacity only. The Expert Panel will make a recommendation on the Protest/Appeal to the ITF Internal Adjudication Panel, which shall consider and determine the matter in accordance with its Procedural Rules (save that there shall be no right of appeal against its decision). No member of the Expert Panel or the <u>ITF Internal Adjudication Panel</u> shall be a member of the ITF Classification Panel that was involved in the decision being appealed or subject to Protest.</u>

V. PROCEDURE

The Expert Panel shall <u>review the Protest/Appeal</u> in the manner it considers appropriate in the circumstances. There is no obligation for the Expert Panel to meet in person.

The Protestor/Appellant shall provide the Expert Panel with all relevant documentation relating to the Protest/Appeal including (at a minimum):

- The name and country of the Player whose eligibility or Classification status is the subject of the Protest, or whose Classification procedures are being appealed;
- Full details of the Appeal/Protest
- The Protest form (where appropriate)
- The decision being appealed/protested.
- The Player Declaration Form;
- The Minimum Eligibility Classification Form;
- The Quad Division Tennis Classification Form (as appropriate);
- Any documents and other evidence to be offered in support of the Protest/Appeal;
- All fees payable.

All documentation submitted as part of the Appeal/Protest shall be provided to the Player and any other party identified in the Protest/Appeal.

The Expert Panel may request other information from the Protestor/Appellant and/or Player as it sees fit, such as medical evidence of permanent physical disability and/or the Player's pertinent medical records, including pertinent diagnostic studies. The Expert Panel may also require the Player to undergo evaluation by a relevant specialist. Any request for information, assistance and/or evidence must be complied with within a reasonable time limit as directed by the Expert Panel.

The Expert Panel will provide its written recommendation on the Protest/Appeal with a copy of the full case file reviewed to the ITF Internal Adjudication Panel, which shall determine the matter in accordance with its Procedural Rules (save that there shall be no right of appeal against its decision). The ITF Internal Adjudication Panel may request (as it deems necessary) clarification or further information from the Expert Panel. The Player must be informed of the Protest/Appeal and the grounds on which it is made, and must be given a reasonable opportunity to be heard and/or provide information/evidence. If the ITF Internal Adjudication Panel considers that a hearing is required, then the Protestor/Appellant and the Player are entitled to be present. The non-attendance of the Protestor/Appellant (or any other witness) at a hearing will not prevent the ITF Internal Adjudication Panel from proceeding and making a determination. Any hearing shall ordinarily be held in private and shall be confidential.

<u>If the Protestor/Appellant and/or Player fail(s)</u> to comply with a request for assistance, information or evidence upon which the Protest/Appeal is based within the time period designated by the Expert Panel or <u>ITF Internal Adjudication Panel</u>, <u>the ITF Internal Adjudication Panel</u> will dismiss the Protest/Appeal. <u>If the Protestor/Appellant and/or Player fail(s)</u> to submit evidence or information and/or provide assistance within the time designated by the Expert Panel or the <u>ITF Internal Adjudication Panel</u>, the <u>ITF Internal Adjudication Panel</u> will suspend the Player from competition until such time as the evidence, information and/or assistance is provided.

VI. DETERMINATION

On completion of its consideration <u>of the Expert Panel's recommendation</u>, the case file and any <u>submissions made</u>, whether in writing or at a hearing, (and subject to a failure on the part of the Protestor to comply with a request of the Expert Panel or <u>ITF Internal Adjudication Panel</u>), the <u>ITF Internal Adjudication Panel</u> shall determine that:

For Protests

• The Player's original eligibility or Classification status is confirmed, or;

- The Player's original eligibility or Classification status is changed (and specify what that change is), or;
- The Player must undergo a further classification.

The <u>ITF Internal Adjudication Panel</u> may only determine that the Player's eligibility and/or Classification status is changed if it is unanimous in that view.

For Appeals

- The Appeal is dismissed, or;
- The Appeal is upheld, or;
- The Appeal is partially upheld.

The <u>ITF Internal Adjudication Panel</u> shall provide all parties a written decision, with reasons. The <u>ITF Executive Director</u> shall communicate the <u>ITF Internal Adjudication Panel</u>'s determination to the Player and the Appellant as soon as reasonably practicable following the determination. The determination shall be final and binding on receipt by the Player.

VII. ELIGIBILITY

The original eligibility and/or Classification status of a Player subject to Protest/Appeal will remain unchanged pending the outcome of the Protest/Appeal.

If the <u>ITF Internal Adjudication Panel</u> determines that the Player's eligibility and/or Classification status is changed, then the new eligibility and/or Classification status shall apply immediately on receipt by the Player of the <u>ITF Internal Adjudication Panel</u>'s determination.

If the Player participates in an ITF-sanctioned wheelchair tennis event while his eligibility and/or Classification status is under appeal, and is subsequently determined by the <u>ITF Internal</u> <u>Adjudication Panel</u> to be ineligible and/or differently Classified, no match results obtained by the Player during that period shall be re-assessed, except in the case of a final, in which case the Player's win(s), if any, will be reversed. This may result in the player (or nation) who originally lost the final being declared the Champion (or Champion Nation).

VIII. FEES AND COSTS

All Protests and Appeals are subject to payment of a fee. This fee is US\$150 for a Protest and US\$300 for an Appeal. No Protest or Appeal will be considered until all payable fees have been received by the ITF. In the event that the Protest/Appeal is successful, the fee will be returned. Otherwise, all fees shall be retained by the ITF.

Costs incurred by a Player subject to Protest/Appeal (e.g. in obtaining medical or any other evidence and/or providing information and/or assistance to the <u>ITF Internal Adjudication Panel</u>) shall be borne by the Player. However, the <u>ITF Internal Adjudication Panel</u> may determine that the Protestor/Appellant meet the reasonable costs necessarily incurred by the Player in responding to the Protest/Appeal (either in whole or in part).

X. CHANGE OF CIRCUMSTANCES

Subject to the previous section ('Classification protest deadline for the Paralympic Tennis Event'), <u>on the recommendation of</u> the Expert Panel the <u>ITF Internal Adjudication Panel</u> may, at any time, decide to re-open a Protest/Appeal where it believes, in its absolute discretion, that there has been a change in a Player's circumstances that might affect that Player's eligibility and/or Classification status.

APPENDIX <u>D - CLASSIFICATION AND ELIGIBILITY</u>

I. TERMS OF REFERENCE

A. ITF SPORTS SCIENCE AND MEDICINE COMMISSION

- 1. <u>Maintain a regular and ongoing review of the Rules, regulations and guidelines relating to</u> <u>scientific and medical aspects of the game;</u>
- 2. <u>Identify possible amendments to the Rules, regulations and guidelines relating to scientific</u> and medical aspects of the game at the initiative of the Commission;
- 3. <u>Review research in relation to the scientific and medical aspects of the game that is</u> <u>undertaken, commissioned and/or supported by the Integrity Department (including by the</u> <u>Commission itself).</u>

B. GENERAL ELIGIBILITY CLASSIFICATION

In cases where players may be uncertain over their eligibility status to play wheelchair tennis, they may request to the <u>ITF Executive Director</u> that they be allowed to be classified. The <u>ITF Executive Director</u> shall have the discretion to determine whether such classifications should take place and if so, the location, timing and cost of such classification. If such classification is to take place, the player may be observed by an approved classifier(s) during practice, warm-up, play and/or at any time during the tournament stay. These observations by the classifier(s) may be supplemented by a review of the player's medical history and by a physical examination of the player. Based on the evidence of the classifier(s), the ITF Classification Panel will make a decision on the eligibility of the player.

For the avoidance of any doubt, save for quad eligibility and the exceptional circumstances as per above, the procedures for general eligibility remain self-certification.

APPENDIX F - MEDICAL CONDITIONS RULE

a. Medical Condition

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Any medical condition requiring injections or intravenous infusions, except for diabetes, for which prior medical certification has been obtained, and for which subcutaneous injections of insulin may be administered. The use of supplemental oxygen is not permitted at any time.

h. Incapacity

If any concern arises about a player's medical condition (whether physical or psychological) that might impact on his/her ability to participate safely in a tournament, the Tournament Doctor should be called to assist the player as soon as possible.

<u>If the issue arises during a match</u>, the Chair Umpire shall immediately call for the Tournament Doctor to assist the player.

The Tournament Doctor is responsible for ensuring that the player is afforded the best medical attention, that his/her well-being is not put at risk, and that his/her medical condition is not a risk to other players or the public at large. All discussions between the doctor and the player take place within the context of a doctor-patient relationship and are therefore confidential and may not be divulged to a 3rd party without the informed consent of the player. However, if the Tournament Doctor determines that the player's medical condition makes the player unable to participate safely in the tournament, the player must permit the Tournament Doctor to advise

the ITF Supervisor/Referee of that fact. If the player refuses to take medical advice, or refuses to permit the Tournament Doctor to share that advice with the ITF Supervisor/Referee, the Tournament Doctor must report that refusal to the ITF Supervisor/Referee. Upon receipt of such advice or report of refusal, the ITF Supervisor/Referee shall declare that the player is being retired from the match in progress or withdrawn from the match to be played (as applicable). If the player's medical condition improves sufficiently to return to match play, the Tournament Doctor may inform the ITF Supervisor/Referee accordingly.

The player may subsequently compete in another event at the same tournament (e.g. doubles), either that day or on a subsequent day.

It is recognized that national laws or governmental or other binding regulations imposed upon the event by authorities outside its control may require more compulsory participation by the Tournament Doctor in all decisions regarding diagnosis and treatment.

APPENDIX H – WELFARE POLICY

b. Violations/Procedures

- i. Any individual who believes that any Covered Person has failed to meet his/her obligations under this Welfare Policy may file a written complaint with the ITF. That complaint shall identify the complainant and state specifically the nature of the alleged misconduct. Upon receipt of such a complaint, the ITF shall promptly investigate the matter to consider whether there has been a breach of this Welfare Policy (a "Welfare Offence").
- ii. <u>All Covered Persons must cooperate fully with such investigations. The ITF may make a written demand to a Covered Person (a "Demand") to furnish to the ITF any information that may evidence or lead to the discovery of evidence of a Welfare Offence, including (without limitation) requiring the Covered Person to attend an interview and/or to provide a written statement setting forth his/her knowledge of the relevant facts and circumstances. The Covered Person must furnish such information within seven business days of the making of such Demand, or within such other deadline as may be specified by the ITF.</u>
- iii. Where, as the result of an investigation under this Appendix H, the ITF forms the view that a Covered Person has a case to answer for commission of a Welfare Offence, the ITF shall refer the matter to the Review Board.

Review Board

- iv. The ITF shall identify one or more individuals who are independent of the ITF and who have the expertise required by the nature of the particular case to form the Review Board and to review the evidence to determine whether there is a case to answer for a breach of this Welfare Policy (a "Welfare Offence"). The ITF shall send the entire dossier of evidence to the Review Board member(s). Where necessary, the Review Board may request that the ITF provide additional information for the Review Board's consideration. There shall be no obligation for the Review Board to meet in person to deliberate. However, any decision by the Review Board that the Player or other Person has a case to answer must be unanimous.
- v. <u>Where the Review Board concludes that there is no case to answer, then the ITF shall notify</u> the Covered Person and any other party with a right of appeal, and (subject to the rights of appeal) the matter shall not proceed any further.
- vi. <u>When the Review Board determines that a Covered Person has a case to answer, the ITF will</u> send a written notice to the Covered Person (the "Notice of Charge"), with a copy to the Chairman of the Independent Tribunal, setting out:

- a. <u>the Welfare Offence alleged to have been committed</u>, and a summary of the facts upon which such allegations are based;
- b. <u>the potential consequences applicable if it is determined that the alleged Welfare Offence</u> <u>has been committed; and</u>
- c. <u>the Covered Person's entitlement to respond to the Notice of Charge in one of the following</u> <u>ways:</u>
 - i. to admit the Welfare Offence(s) charged, and accede to the consequences specified in the Notice of Charge;
 - ii. to admit the Welfare Offence(s) charged, but to dispute and/or seek to mitigate the consequences specified in the Notice of Charge, and to have the Independent Tribunal determine the consequences at a hearing; or
 - iii. to deny the Welfare Offence(s) charged, and to have the Independent Tribunal determine the charge and (if the charge is upheld) any consequences, at a hearing:
- d. <u>if the Covered Person wishes to exercise his/her right to a hearing before the Independent</u> <u>Tribunal, he/she must submit a written request for such a hearing so that it is received by</u> <u>the ITF as soon as possible, but in any event within 10 days of the Covered Person's receipt</u> <u>of the Notice. The request must also state how the Covered Person responds to the charge</u> <u>in the Notice and must explain (in summary form) the basis for such response. In the event</u> <u>no such response is received by that deadline, the Covered Person will be deemed to have</u> <u>admitted the Welfare Offence(s) charged, and to have acceded to the consequences</u> <u>specified in the Notice of Charge.</u>
- vii. In the event that the ITF withdraws the Notice of Charge, or the Covered Person admits the Welfare Offence(s) charged and accedes to the consequences specified by the ITF (or is deemed to have done so), a hearing before the Independent Tribunal shall not be required. Instead the ITF shall promptly issue a decision confirming (as applicable) its withdrawal of the Notice of Charge or the commission of the Welfare Offence(s) and the imposition of the specified consequences, and shall send a copy of the decision to the Covered Person.

Provisional Suspension

viii. If (and only if):

- a. <u>The Covered Person has pleaded guilty to, or otherwise admitted (for example, in response</u> to a Notice of Charge) engaging in, conduct that constitutes a Welfare Offence; and
- b. <u>the Review Board has completed its review and has concluded that the Covered Person in</u> <u>question has a case to answer in respect of that Welfare Offence;</u>

then the ITF may notify the Covered Person in writing that he/she will be Provisionally Suspended with effect from the date 10 days after the date of deemed receipt of the notice, pending a full hearing. However, the ITF shall at the same time notify the Covered Person of his/her right, at his/her election, to make an application to the Chairman of the Independent Tribunal convened to hear his/her case, either immediately or at any time prior to the full hearing, for an order that the Provisional Suspension should not be imposed (or, if the Provisional Suspension has been imposed, that it should be vacated). The Chairman of the Independent Tribunal, sitting alone, will rule on the application as soon as reasonably practicable.

ix. <u>A Covered Person may not, during the period of any Provisional Suspension, play, coach or</u> <u>otherwise participate in any capacity in any tournament, event or circuit owned or sanctioned</u> <u>by the ITF.</u>

Sanction

x. The <u>Independent Tribunal</u> may impose appropriate sanctions including (a) denial of privileges or exclusion of the person in question from any or all ITF Tournaments, or (b) such other

sanctions including monetary sanctions as the <u>ITF Internal Adjudication Panel</u> may deem appropriate.

c. Appeal

i. Decisions of the Independent Tribunal may be appealed to the Court of Arbitration for Sport. The appeal proceedings shall be conducted in accordance with the CAS Code of Sports-related Arbitration, in the English language, and shall be governed by English Law.

d. Miscellaneous

i. Any decision of the <u>ITF Internal Adjudication Panel</u> pursuant to this Welfare Policy may be communicated to those Member National Associations, other tennis organisations and ITF Tournament organisers deemed necessary by the <u>ITF Internal Adjudication Panel</u>.

APPENDIX I RECIPROCITY

The ITF reserves the right to ask the <u>ITF Internal Adjudication Panel</u> to affirm, modify or reject with respect to any or all ITF <u>Wheelchair Tennis</u> tournaments, a suspension or other sanction issued against a Covered Person (as defined in Appendix <u>H</u> - ITF Welfare Policy) either by or on behalf of the ITF pursuant to a conduct or disciplinary process under any ITF code or policy or by any other tennis organisation including the Women's Tennis Association and Association of Tennis Professionals.

The <u>ITF Internal Adjudication Panel</u> shall have the right in its absolute discretion to share information concerning any complaint against a Covered Person with and/or conduct an investigation in conjunction with any other tennis organisation or any other relevant authorities. The <u>ITF Internal Adjudication Panel</u> may also refer the complaint and/or any information received during the course of investigating an allegation or prosecuting a charge to any authorities he considers appropriate in his absolute discretion. The ITF shall have the absolute discretion, where it deems appropriate, to stay its own investigation pending the outcome of investigations being conducted by any other tennis organisations and/or any relevant authorities.

A decision by the ITF Internal Adjudication Panel to affirm, modify or reject a suspension or other sanction issued against a Covered Person may be appealed by the Covered Person to the Independent Tribunal, which shall determine the matter in accordance with its Procedural Rules (save that there shall be no right of appeal against the Independent Tribunal's decision).

APPENDIX <u>J - THE PLAYERS</u>

Any reference to players in this Article shall apply to the Captain where appropriate.

Any Appeal against a final decision handed down by a National Association shall be lodged with the <u>ITF Internal Adjudication Panel</u> within 21 days of notification of the decision in question. Recourse may only be made to the <u>ITF Internal Adjudication Panel</u> after all other internal channels of appeal to a National Association have been exhausted. The decision of the <u>ITF Internal Adjudication Panel</u> will be provided in writing and shall be final and binding. The <u>ITF Internal Adjudication Panel</u> in its absolute discretion may set aside the determination of a National Tennis Association that a player is of "Good Standing".

The <u>ITF Internal Adjudication Panel</u> will give a ruling having taken into account all relevant matters.